

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John E. Peterson, et al.

Docket No. : IL-10786

Serial No. :

Art Unit :

Filed :

Batch No. :

For : Reduction of Damage Initiation  
Density in Fused Silica Optics via UV  
Laser Conditioning

Examiner :

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS [37 CFR 1.9 (f) and 1.27(d)] - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

The Regents of the University of California  
Office of General Counsel  
1111 Franklin Street  
Oakland, CA 94607-5200

**TYPE OF ORGANIZATION**

X University or other Institute of Higher Education

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Reduction of Damage Initiation Density in Fused Silica Optics via UV Laser Conditioning

by inventor(s) John E. Peterson, Stephen M. Maricle, Raymond M. Brusasco,  
Bernardino M. Penetrante

described in

X the specification filed herewith.

       application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

       patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention, except for a license to a Federal Agency pursuant to 35 USC 202(c) (4).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

X no such person, concern, or organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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July 20, 2001  
Date